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Wednesday, 17 September 1947

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INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST Court House of the Tribunal War Ministry Building Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0930.

Appearances:

For the Tribunal, all Members sitting, with the exception of: HONORABLE JUSTICE R. B. PAL, Member from India and HONORABLE JUSTICE I. M. ZARYANOV, Member from the U. S. S. R., not sitting from 0930 to 1600.

> For the Prosecution Section, same as before. For the Defense Section, same as before.

English to Japanese and Japanese to English interpretation was made by the Language Section, IMTFE.)

Reichers & Yelden

MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now in session.
THE PRESIDENT: Major Moore.

LANGUAGE ARBITER (Major Moore): If the Tribunal please, we present the following language corrections: exhibit 3085, record, page 27,542, line 16, after "was also" insert "generally fair"; line 26, after "internees" insert "and I believe the treatment was generally with fairness."

THE PRESIDENT: Judge Nyi.

MAKOTO AIZAWA, called as a witness on behalf of the defense, resumed the stand and testified through Japanese interpreters as follows:

CROSS-EXAMINATION

BY JUDGE NYI (Continued):

Q Mr. Witness, just before the recess yesterday you were telling us about the functions of the Special Service Organ--

THE PRESIDENT: Does this witness' examinationin-chief warrant a very lengthy cross-examination?

JUDGE NYI: I do not think the prosecution
will conduct a very lengthy examination of this witness
but we have just a few questions.

Q (Continuing) If the orders and instructions were considered secret and confidential as you stated, you would not be in a position to know what other people were doing unless they told you about them, is that correct?

A That is not so. Even though we subordinates were unfamiliar with the exact contents of the instructions given to the chief of the Special Service Organ by the commanding general at the time he took over the office of chief of the Special Service Organ, still we are able to know what the functions of the organ are because it conducted the work of collecting intelligence and information and also giving out press releases and the work of the Special Service Organ being collection of information and intelligence and press releases, I would know what the functions of the Special Service Organ are.

THE PRESIDENT: Can you suggest to this witness that his figures are wrong and that instead of four being employed, forty were employed or some other number? Can you do anything like that? If you have a different view of this Special Service organization you can put that view to him and see how he reacts, if you really have views which could be supported by evidence later, if necessary.

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JUDGE NYI: Thank you, your Honor.

Q Now we will come to a point where we have some difference of views. Mr. Witness, you stated in the second paragraph on page 4 that the Special Service Organ did not have anything to do with opium. Do you know what other organization in Mukden at the time had control of opium?

MR. WARREN: I object, your Honor. That is outside the scope of the affidavit, I am quite certain.

THE PRESIDENT: I think it is. Objection upheld.

JUDGE NYI: With respect to this point the prosecution would like to invite the Tribunal's attention to pages 15,856 and 19,976 of the record with respect to that point.

Q On page 3, near the top, of your affidavit you stated that you were mainly concerned in those days with the political movement and the state of the public peace in Fengtien, Jehol Provinces and Inner Mongolia. In the course of your employment under DOHIHARA do you know that in 1935 DOHIHARA himself was launching on a political offensive for the creation of a separate state in North China under the threat of sending five divisions within the Great Wall and moving the Manchukuoan Emperor to Peiping?

A I have no knowledge whatsoever about it.

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24 25 Q Do you know that he was in Peiping and Tientsin area in November 1935 in connection with the aforesaid movement?

A Yes.

THE PRESIDENT: Colonel Warren.

MR. WARREN: Your Honor, we don't object to this witness' answering these questions, but they seem clearly outside the scope of the direct examination. He is merely speaking about the Mukden Organ and that is all we wouch for. We do not know anything about all this other stuff.

JUDGE NYI: Your Honor, this concerns the activities of the Special Service Organ in Mukden and in our submission it is clearly within the scope of the affidavit.

MR. WARREN: If he can tie it up in that manner, I withdraw my objection.

THE PRESIDENT: Well, proceed with your questions.

Answer the question. I think he did answer.

JUDGE NYI: I believe he did answer.

Q Do you know that the newspapers all over the world were reporting the activities of DOHIHAKA in the Tientsin and Peiping area with regard to the creation of the Five Provinces Autonomous Movement?

A The press at that time may have made such
reports but I do not recall them at present. However,
in the period just indicated by the prosecutor I do
know that General DOHIHARA made a tour of Tientsin
and Peiping, but I have no knowledge whatsoever, and
I do not think that General DOHIHARA had anything to
do in the course of his travels with the Separatist
Movement involving the five North China provinces.
Q As you were engaged in the collection of
information, did you read those newspaper reports
at all?
A I think I read them.
Q In collecting the various informations,
to whom did your organ make the report?
A To the commanding general.
Q Was this the report compiled by your organ
when you were employed there?
(Whereupon, a document was shown
to the witness.)
A This is a report of information compiled by
the Special Service Organ.
JUDGE NYI: Your Honor, at this juncture we
would like to tender this for identification.
THE PRESIDENT: Mark it for identification.

CLERK OF THE COURT: Printed in Japanese,

IPS document 1763 will receive exhibit number 3177 for identification only. (Whereupon, the document above re-3 ferred to was marked prosecution exhibit 3177 4 for identification only.) 5 Mr. Witness, let me show you a report which 6 was taken from this compilation. Do you recognize 7 this? 8 A The report written in Japanese underneath was 9 made by the Special Service Organ, but as to the 10 English on top, I know nothing about it. 11 Q That is enough. Toward the end of page 6 of 12 this paper, do you see that the name of DOHIHARA also 13 appears? 14 MR. WARREN: Your Honor, I would like, if 15 possible, to have a copy of this so that I can make 16 intelligent objection in case it is necessary. 17 (Whereupon, a document was handed 18 to Mr. Warren.) 19 THE INTERPRETER: The witness replied, "Yes." 20 Q On that page do you see the passage that "the 21 very mention of the names of DOHIHARA and ITAGAKI is 22 enough to make the people turn pale in South China?" 23

THE PRESIDENT: I am not sure whether Judge

Nyi is saying what is written there, or is commenting

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on something written there.

JUDGE NYI: It says there.

Your Honor, we would like to tender this in evidence and have it read.

THE PRESIDENT: Colonel Warren.

MR. WARREN: Your Honor, what he is offering here has been drawn by them. We have no idea
what he is putting in, or whether he is putting in
disconnected sentences, or what they are attempting
to do, but at first glance here it is patent that it
does not convey the true story, and we want at this
time to object until we have the whole story and know
where we are at.

JUDGE NYI: Your Honor, we have a question to follow which will be perfectly within the scope of this affidavit.

MR. WARREN: Your Honor, of course, I cannot read Japanese, but my co-counsel tells me that this interpretation, instead of saying Major General DOHIHARA and ITAGAKI, speaks about "the tiger". I don't know what it means, but I think the original should be gotten by the Language Section at this time and the wording checked to find out whether this translation is true or not.

JUDGE NYI: Your Honor ---

THE PRESIDENT: So far the proper steps have not been taken. This witness did say it was a report by DOHIHARA and ITAGAKI, I think, but we don't know what the report is about. You should have drawn his attention to what it was, got him to describe it, and then tendered it.

JUDGE NYI: Your Honor, in the light of this report we are going to ask him about ---

THE PRESIDENT: It doesn't matter what you ere going to do. Get a proper foundation for tendering it. You see, objection was taken to it. For a time I did not think there was going to be any, but we have to deal with the objection properly.

JUDGE NYI: Your Honor---

THE PRESIDENT: Ask the witness what the report is, and draw his attention to any particular passage in it, and then tender it if he acknowledges it.

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Q Mr. Witness, you have seen this part of the report. Now, since you say that the Special Service Organ in Mukden deals with the collection of reports only, how does it happen that the people in South China became so scared of the names of DOHIHARA and ITAGAKI? Could you give us any facts that will explain this?

A First of all, may I speak about this report?

It was a report made by the Mukden Special
Service Organ; however, as to the reports compiled by
the Mukden Special Service Organ, these reports are
distributed to the army, to the Vice-Chief of the
Army General Staff and to the Vice-Minister of War,
and these reports are collected and classified into
political, economic and other subjects.

Q But you haven't answered my question.

A I have not completed my answer.

As it is indicated here, the various questions are classified into political, economic and social questions. The subject just pointed out by you is classified under social questions. With respect to points of observation of the public there is a report, an item in here to the effect that the mere mention of the names of ITAGAKI and DOHIHARA was enough to make the people turn pale in South China, but I presume

that this item was taken from the newspapers commenting on these two men and the report thus received
from the press was reported in this particular report.

DOHIHARA's name constantly appeared in the newspapers.

Furthermore, newspaper comments frequently reported
to the effect that DOHIHARA was engaged in conspiracies and various plots but, as a matter of fact, during
the years that I served under theral DOHIHARA there
was not one iota of any evidence of his ever participating in such movements.

THE PRESIDENT: We do not want this witness to digress to that extent. I notice that this document has attached a certificate of source and authenticity and it could have been tendered direct and would be admitted subject to its having probative value.

JUDGE NYI: Your Honor --

THE PRESIDENT: We will take it as tendered with that certificate. The only question is, what probative value has it, and if it has any, is it properly translated. We can only refer to it if we decide to admit it.

MR. WARREN: Your Honor, in view of this witness' explanation as to what these items are we feel it has lost probative value, but if the Court

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wants to take it, we have no objection.

THE PRESIDENT: Colonel Warren, this Tribunal has no wants in the matter. The question is, has it probative value? Do you want to argue that?

MR. WARREN: Your Honor, we do not feel that it has, but your Honor has seen it. It is very short and I do not want to take the Tribunal's time in arguing it. I will make the record objection.

THE PRESIDENT: Is it clearly understood that if an objection is made we have to deal with it after hearing argument, if necessary?

We take you as submitting now that it has no probative value and therefore should be rejected.

JUDGE NYI: Your Honor, in our submission, we think it has because, taken together with the evidence which we have already submitted, it does have a significance as to the activities of DOHIHARA and his organ, the Special Service Organ of Mukden.

THE PRESIDENT: By a majority the Court overrules the objection and admits the document on the usual terms. D prat

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CLERK OF THE COURT: Prosecution document 1763-B will receive exhibit No. 3177-A.

(Whereupon, the document above referred to was marked prosecution exhibit No. 3177-A and received in evidence.)

Mr. Witness, toward the end of page 2 of your affidavit, you stated that from the time of arrival of LOHIHARA your duties were somewhat modified. Then, in the next paragraph on page 3, you went on to say that the Special Service Organ took charge of supervision and guidance of Japanese personnel in Manchukuo Government, and that you kept a complete list of their conduct. Do you remember how many Japanese officials were there during that time under the jurisdiction of the Special Service Organ?

The Mukden Special Service Organ had nothing to do with the supervision of officials of Japanese extraction.

THE MONITOR: Slight correction: It was not in control of Japanese officials or officials of Japanese extraction.

Didn't you say, in the middle of page 3: "The reason for our supervision and guidance of persons of Japanese extract who were in the employ of the Manchukuo Government was to see that they did not act

overbearingly or go to extremes in their conduct"?

A Supervision and guidance are quite different from control.

Q With the addition of the duty of supervising and guiding the persons of Japanese officials in Manchukuo Government, now you admit that the work of the Special Service Organ under DOHIHARA 3 not confined to the collection of news and the issuing of press releases, do you?

A The question is not clear to me.

(Whereupon, the last question was read by the Japanese court reporter.)

A (Continuing) The functions of the Mukden Special Service Organ were collection of information and press releases. I do not recall whether or not the Special Service Organ's functions also included the supervision and guidance of officials of Japanese extraction. However, it is a fact that the Special Service Organ collected information concerning these officials as a measure of preventing such officials from interfering in the government of Manchukuo by acting overbearingly.

Q The language is clear when you use the .
expression "our supervision and guidance of the persons of Japanese extract"; is that correct?

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A What do you mean by clear language, "language is clear"?

Q You stated, in the middle of page 3 which I just read you a few minutes ago, "our supervision and guidance of persons of Japanese extract," and you did not say that you collected information concerning the supervision and guidance.

MR. WARREN: Your Honor, I should like to call attention to the Tribunal that the statement of counsel at this time that he did not was one trary to the affidavit itself, in which he says that "We compiled and kept a very complete list of the personal conduct," et cetera.

I am sorry, I forgot to say I therefore object.

JUDGE NYI: What Mr. Warren just said is the next sentence.

THE PRESIDENT: Objection upheld.

Q Mr. Witness, how many divisions or sections were there within the Special Service Organ at Mukden?

A There was nothing of the kind. There were only the chief and the assistant and his assistant, the office of the chief and the office of his assistant, and the office of the clerks. That i all.

Q Do you know that there were special service

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organs of the Kwantung Army at Shanghaikwan, Chinchow, and Antung, besides the one at Dairen which you mentioned in your affidavit?

MR. WARREN: Your Honor, I have no doubt that what counsel states is true. But this witness is confined to the Mukden Special Service Organization to which General DOHIHARA was connected. We did not go into the others. It is outside the scope of the direct examination.

JUDGE NYI: Your Honor, this affidavit concerns the activities of DOHTHARA, not only in Mukden. On page 3 the affidavit says they collected information about conditions in Fengtien, Jehol Provinces, and Inner Mongolia.

THE PRESIDENT: I think he can tell us what branches there were.

MR. WARREN: Your Honor, may I make an observation?

THE PRESIDENT: He does mention one of them,
I notice. It is within the scope of an affidavit
dealing with the operations of the principal office
to say what branches it had.

MR. WARREN: Your Honor, may I make this observation: The Mukden Special Service Organization, according to this afficavit, was in the city of Mukden,

with one branch in Dairen. We did not go into the others because it is similar to the Intelligence Department of our army: There were hundreds of them, probably.

THE PRESIDENT: Your attempts to state what is here, Colonel Warren, are resented by at least two of my colleagues besides myself. The question is within the scope of the affidavit, and the witness must answer.

MR. WARREN: Your Honor, I do not know whom I have offended, but I apologize to those particular persons. I meant no offense. I merely meant to state that if the affidavit was not clear the witness would clarify that point, that those were the only two offices of the Mukden organization. A d the others, if the Court feels it is within the province, I of course have no objection to going into that. I am sorry if I was misunderstood.

THE PRESIDENT: The affidavit says the Mukden office had a branch in Dairen. That is all it says. It may or may not mean that was the only branch. That is the point.

Please answer the question.

A The only branch of the Mukden Special Service Organ was in Dairen. The Special Service Organs in

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cross-examination.

Shanghaikwan and other places just mentioned by the prosecutor are entirely separate Special Service Argans not under the jurisdiction of the Mukden Special Service Organ. Q Were all these Special Service Organs which I just mentioned under the direction of General DOHIHARA? A In my recollection, there were no Special Service Organs either in Antung or in Chinchow. The Special Service Organ in Shanghaikwan did not receive 11 directions from General DOHIHARA. 12 Q Was the Special Service Organ in Harbin also 13 under the direction of General DOHIHARA? 14 A No. 15 JUDGE NYI: Your Honor, this concludes our 16

THE PRESIDENT: Colonel Warren.

MR. WARREN: We have nothing further from this witness. May he step down?

(Whereupon, the witness was excused.)

THE PRESIDENT: Do you desire exhibit 3177-A
to be referred to the Language Section?

MR. WARREN: My Japanese counsel advised me to make that request, your Honor. Thank you very much.

At this time we should like to call as our

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next witness, SHIBAYAMA, Kaneshiro.

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KANESHIRO SHIBAYAMA, recalled
as a witness on behalf of the defense, having
been previously sworn, testified through
Japanese interpreters as follows:

THE PRESIDENT: You are still on oath,

Witness.

DIRECT EXAMINATION

BY MR. WARREN:

Q Will you state your name and present address to the Court, please?

A My name is SHIBAYAMA, Kaneshiro. My address, No. 861 Komaba-machi, Meguro, Tokyo.

MR. WARREN: Will you hand the witness, please, defense document No. 2087.

Q Will you look at the document which you hold and tell the Tribunal whether or not that is your affidavit, and if the contents thereof are true?

A This is my affidavit. Its contents are true and correct.

MR. WARREN: If the Tribunal please, at this time we offer in evidence defense document No. 2087, except the following extract which the prosecution has objected to and I think has merit.

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On page 2, beginning with the first sentence and the words "In my association," and continuing for two sentences, ending with the words "Military Education." That portion we do not offer. THE PRESIDENT: Admitted on the usual terms, to that extent. CLERK OF THE COURT: Defense document 2087 will receive exhibit No. 3178. (Whereupon, the document above referred to was marked defense exhibit No. 3178 and received in evidence.)

MR. WARREN (Reading): "I have been asked if I am acquainted with the accused DOHIHARA, Kenji, and if I were familiar with his activities during the time immediately preceding and following the Mukden Incident. Also, I have been asked if I am acquainted with one General Ching Teh-chen, a Chinese general who was a witness for the prosecution, and as to whether I ever had a conversation with him concerning the accused DOHIHARA at Chungshan Park in Peking. I do have knowledge of these facts and desire to make the following statement concerning them.

served under General Chang Hsueh-liang of Manchuria as a military advisor. For about eight months in the beginning, that is, from December 1928, I was assistant to General DOHIMARA, who was for that period of time military adviser to General Chang Hsueh-liang. During that period of time I became well and personally acquainted with him, knew his policies and often discussed them with him. On many occasions he emphasized that the secret of friendly relations between Japan and China was for the Japanese to study more deeply the state of affairs in China and to carry on friendly relations between the two peoples in good faith, discarding the Japanese superiority

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complex, and to refrain absolutely from enforcing our will by unilateral force.

"Not only did General DOHIHARA advocate his policies, but in territories under his command he required a strict observance of those policies. I know this to be a positive fact because in January 1938 I accompanied Lt. Gen. UMEZU, Yoshijiro, the then Vice-Minister of War, on a tour of inspection of the battle lines of North China. On this tour we visited Tsoushieng, which was the headquarters of General DOMIHARA. I was greatly surprised to learn that tranquility prevailed throughout the city and that it was at the height of prosperity. It was hard for me to realize that this was actually the base of military operations nearest the front line of battle.

"In 1937, prior to the tour of inspection

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which I have just mentioned and at the time of the occurrence of the China Incident, General DDHIHARA was Commander of the 14th Division at Utsunomiya, Japan, and was ordered to go to North China. It is a fact that the masses of the people of North China were deeply impressed by his policy and actually crowded to areas under his control because they knew they would be able to pursue their callings in peace and contentment, without undue interference from the Japanese military. Our inspection tour confirmed everything we had heard concerning the situation in the territories under the command of General DOHIHARA.

"On September 9, 1931, immediately prior to the outbreak of the Manchurian Incident, by order of General Chang Hsueh-liang I was sent to Tokyo in connection with the murder of Captain NAKAMURA, Shintaro, and returned to Peking on September 24th of the same month. While I was in Tokyo General DOHIHARA was there to make his report as Chief of the special military organ at Mukden on the progress being made in the Captain NAKAMURA case. I met him and talked with him in Tokyo on September 14th.

"During the presentation of the prosecution's case, General Ching Teh-chen, with whom I am well and

personally acquainted, appeared before this Tribunal as a prosecution witness and testified that five days before the outbreak of the Manchurian Incident General Ching met a friend of his by the name of SHIBAYAMA, who was also a mutual friend of the defendant DOHI-HARA, in Chungshan Park in Peking. At this meeting General Ching stated that the SHIBAYAMA with whom he conversed said in substance: 'DOHIHARA is about to go to Manchuria to embark on some big project. General Ching has always been friendly with me and I have been friendly with him and consider him as my friend, and while he was in Tokyo, and after his testimony, I was contacted by him and he stated that he had used my name before the Tribunal and also told me in what connection. I was surprised at the General's carelessness in using my name in such connection because I know that I am the only SHIBAYAMA who is a friend of both General Ching and General DOHIHARA.

"As shown by my previous statements concerning my whereabouts on the date referred to by General Ching, it would have been quite impossible for me to have discussed General DOHIHARA at that time and place, and in fact I have never at any time told General Ching anything about the foregoing affair, much less mentioned the name of General DOHIHARA in connection

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therewith. I

therewith. In his conversation with me General Ching told me he had used my family name, SHIBAYAMA, but not my given name.

"Due to the fact that I was engaged in work in the Captain NAKAMURA case, and I know General DOHIHARA was spending most of his time on the case, and knowing his whereabouts just prior to the outbreak of the Mukden Incident in September 1931, I was very greatly surprised to learn that anyone could seriously consider he had any connection with it."

The prosecution may cross-examine.

THE PRESIDENT: Captain Brooks.

MR. BROOKS: If the Tribunal please, I have five questions I would like to ask on direct examination on behalf of General MINAMI. They all concern one point, and it will save duplication of calling this witness covering this same material. It is closely connected with Mr. Warren's case, and I did not know that he was going to call him, and I was intending to get a similar affidavit on my own behalf. Since this question is closely connected with what Mr. Warren's case is, it will save time by asking him only this one point he did not cover in his affidavit, and I will adopt the rest of the affidavit for MINAMI.

DIRECT EXAMINATION (Continued)

BY MR. BROOKS:

Q Now, Mr. Witness, in paragraph 3 on page 2 of your affidavit you state you were in Tokyo on and around September 18, 1931. Now, did you meet the War Minister, General MINAMI, at that time?

A Yes, I met him.

Q What was the subject of your conversation with MINAMI at that time?

A As I was about to return from Tokyo to
Mukden, I asked of the War Minister if he had any
directions to give to me. On that occasion the War
Minister requested me to orally communicate with the
Commanding General in Korea, General HAYASHI, while
en route through Chosen or Korea, the following
message: The message I was asked by the War Minister
to carry to General HAYASHI was that under no circumstances should the Korean Army be permitted to cross
the Yalu River into Manchuria.

Q Did he state why?

A He did not state the reason.

THE PRESIDENT: Were you a general

officer in the Japanese Army then?

THE WITNESS: I was then a major.

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Q Did you give this message to General HAIASHI, the Commander-in-Chief of the Korean Army? 1 2 First of all, I sent a wire to the Command-3 ing General of the Korean Army and arranged to see 4 the Chief of Staff, KODAMA, at the station. 5 And did you see the Chief of Staff, General KODAMA? Chief of Staff KODAMA had come as far as 8 Ryuzan to meet my train, and we traveled together 9 to Keijo or Seoul. 10 THE PRESIDENT: Were you on MINAMI's staff 11 or in his office? 12 THE WITNESS: I was then not one of the staff 13 of War Minister MINAMI. 14 THE PRESIDENT: Why should he amploy you to 15 s nd a communication of that kind to such a person? 16 THE WITNESS: In my judgment the matter of 17 such a kind required the earliest possible and most 18 urgent attention, and because I happened to be 19 leaving Tokyo for Mukden I presume that this particular 20 21 message was entrusted to me. 22 THE PRESIDENT: It is not very convincing yet. 23 We will recess for fifteen minutes. (Whereupon, at 1045, a recess was

taken until 1100, after which the proceedings

were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed. THE PRESIDENT: Captain Brooks.

BY MR. BROOKS (Continued):

Now, Mr. Witness, when, where and why did you call on General MINAMI?

At that time, I was Military Adviser to Marshal Chang Hsueh-liang in Mukden and I was receiving at that time frequent directions from the War Minister. And, therefore, I went to visit him, thinking that there might be some instructions I might receive from him. In my recollection, the time was, if I am not mistaken, the night of the 19th of June. The place was the Japanese room of the official residence of the War Minister and the time around 6:30 p.m.

THE PRESIDENT: Surely not in June.

THE WITNESS: I was mistaken; S. otember 19th.

THE PRESIDENT: But he said June. It wasn't

a mistranslation, was it? 19

THE MONITOR: It was not a mistranslation.

THE PRESIDENT: How did he come to make such

a gross mistake? Such mistakes are not easily made.

THE WITNESS: I thought it was September, and I was about to say September.

THE PRESIDENT: But, you said June, and you

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did not correct it.

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THE WITNESS: I was a bit confused because
I had mixed up the numeral "6" with the 6th Year of
Showa, which was the year in which this meeting took
place.

BY MR. BROOKS (Continued):

Q Mr. Witness, prosecution exhibit 57, the last paragraph, page 64, states that Major SHIBAYAMA arrived in Tokyo on September 12th. Is that a correct statement?

A Yes.

Q Now, what was your mission?

A It was to communicate to the Japanese military headquarters the intentions and wishes of Marshal Chang Hsueh-liang in connection with the murder of Captain NAKAMURA.

Q At this time you were an officer of the Japanese Army; is that correct?

A Yes.

Q Now, as to this message, when and where did you deliver this message to General KODAMA?

A I think the date was the 20th, Although I do not remember the exact time, I presume it was around 11 o'clock in the morning on board a train bound between Ryuzan and Seoul.

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1	Q That is in Korea?
2	A Yes, in Korea.
3	Q Now, why did War Minister MINAMI give you
4	this message for the Commander-in-Chief HAYASHI?
5	A I believe that was because the Minister of War,
6	General MINAMI, desired to bring about a settlement
7	a local settlement of the Manchukuo Incident on the
8	smallest possible scale.
9	MR. BROOKS: No further questions, your Honor.
10	THE PRESIDENT: Judge Nyi.
11	JUDGE NYI: May it please the Tribunal, the
12	prosecution has a few questions to put to the witness.
13	CROSS-EXAMINATION
14	BY JUDGE NYI:
15	Q Mr. Witness, in the last paragraph on page 1
17	of your affidavit you stated that you served as a
18	Military Adviser to General Chang Hsueh-liang in Manchuri
19	from December, 1928 until October 1931. Were you in
20	any way connected with General Chang before that time?
21	A Yes.
22	Q In what capacity?
23	A It was in the previous year When I was in
24	Chinchow in Honan Province Chang Hsueh-liang came

THE INTERPRETER: Correction: It was in the

previous year when I was in Chinchew in Honan Province

	that Chang Hsueh-liang came to that city with his
200	army. At that time I was travelling in Honan Province
3	and was and happened to be in Chinchow at that
	time.
5	Q You were General DOHIHARA's assistant during
6	the first few years; was that correct?
7	A Yes, only several months.
8	Q Was it true that when DOHIHARA left Chang
9	Hsueh-liang he recommended you to General Chang as his
10	successor?
11	A Not so.
12	Q How many Japanese military advisers did
13	General Chang have at the time when you were his adviser?
14	A Two.
15	THE PRESIDENT: Did he have any non-military
16	advisers?
17	THE WITNESS: No.
18	Q Who was the other Military Adviser?
19	A General DOHIHARA's successor as Military
20 21	G-1-mol CENO
22	Q How did it happen that General Chang picked
23	NAKAMIRA Case
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25	A That was because Marshal Chang felt the

necessity of communicating to the Japanese military

authorities his desire to bring about a peaceful settlement of the Captain NAKAMURA Incident.

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Q Was it also because you were close to the Japanese military circles?

A That I do not know.

Q You were familiar -- were close to the Japanese military circles in Tokyo, were you, at that time?

A At that time, I had no intimate connection with the Japanese military circles, because I was purely a military adviser only to Marshal Chang, and there was no need for me to respond to the orders of the Japanese Army. Consequently, my connections -- I had very little connection with the Japanese military.

Q What was General Chang's specific instructions with regard to the NAKAMURA case?

A Chang Hsueh-liang -- Marshal Chang Hsueh-liang's desires was to see to it that the Captain NAKAMURA incident be fully investigated -- carefully investigated by both sides, and that if, after the investigation, it happened that he would be responsible -- would be found responsible for the incident, he said that, depending on the representations made by the Japanese side, he would listen to them and try to bring about a peaceful settlement of the issue.

Q So, he was sincere in his effort to bring

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about a settlement of the NAKAMURA case, was he?

A Yes.

Q Do you know by that time that a second inquiry into the NAKAMURA case had been ordered?

A No, I did not know.

Q Did you also know that another high-ranking Chinese official, by the name of Tang Erh-ho, had been ordered by General Chang to proceed to Tokyo for consultation with Baron SHIDEHARA?

THE MONITOR: Will you please spell it?

JUDGE NYI: T-a-n-g E-r-h - ho...

MR. WARREN: Your Honor, we desire to object to this line of questions concerning the NAKAMURA case. We don't believe it is within the scope of the direct examination. Although he does mention it, he mentions it as a point of recollection, not as an attempt to analyze it at all.

THE PRESIDENT: It is very difficult to say it is outside the scope of the affidavit, which does mention it. Nevertheless, I fail to see the point of the cross-examination.

JUDGE NYI: May it please your Honor, this present witness has mentioned -- made two references to the NAKAMURA case in his affidavit.

THE PRESIDENT: We are with you on the scope

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of the affidavit. But, what is the purpose of the cross-examination?

JUDGE NYI: Your Honor, in our submission, this has a very close relation to the activities of the accused DOHIHARA, and they were both in Tokyo at that time.

THE PRESIDENT: Is that your reason? That doesn't appear to be sufficient. There may be another. The NAKAMURA case played some part.

As I am reminded, it is one of three hundred matters that is supposed to have been causing trouble between China and Japan, but the prosecution gave it very little attention. It is also dealt with, I am reminded, in the written report.

What can this witness add? What can he do except waste time.

JUDGE NYI: Your Honor, this witness said that he had a talk with DOHIHARA in Tokyo, and we might get from this witness what were the views of DOHIHARA in this case, and which were not in the written report.

THE PRESIDENT: If we are going into all these details at this length, we will never finish.

JUDGE NYI: May it please your Honor, we will drop this point.

BY JUDGE NYI (Continued):

Q Mr. Witness, you spake of your trip to China
after the outbreak of hostilities in 1937.

A Yes, I did make a trip.

THE PRESIDENT: The prosecution has as great, if not a greater, responsibility than the defense of avoiding a waste of time in this case.

Q Mr. Witness, you stated that you met

DORTHARA in North China in January, 1938. Have you

been with him in China at that location again in the

same year?

A I did not meet him twice in the same year in North China.

Q Did you meet him elsewhere in this same year?

A Yes.

Was it true that both you and DOHIHARA were sent to China on the same mission in August, 1938?

A Not to North China, but I made an inspection trip to both North and South China.

THE INTERPRETER: Slight addition: I accompanied DOHIHARA on this inspection trip.

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A That is not so.

Q You stated on page 1, at the bottom, that DOHIHARA was anxious to bring about friendly relations between China and Japan. Did he ever tell you that it would be close to his idea of maintaining friendly relations by creating a new government?

A I have never ever heard of that.

You also mentioned in the affidavit about your inspection tour with General UMEZU.

THE PRESIDENT: What about it? You are telling him something; you are not putting a question.

O My question would be: Did you go there on the request of General TERAUCHI?

A That is not so.

JUDGE NYI: Your Honor, we conclude our cross-examination.

THE PRESIDENT: By what means did you travel from Tokyo to Korea after talking to MINAMI?

THE WITNESS: By rail.

THE PRESIDENT: By boat and by rail, I suppose, and perhaps by air.

THE WITNESS: I traveled by rail, sir.

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THE PRESIDENT: Colonel Warren. MR. WARREN: We have no further questions. May the witness step down, sir? THE PRESIDENT: He is excused on the usual terms. (Whereupon, the witness was excused.) MR. WARREN: We should like to call as our next witness KUTAJIMA, Kazue.

1	KAZUE KUWAJIMA, called as a witness
2	on behalf of the defense, being first duly
3	sworn, testified through Japanese interpreters
4	as follows:
5	DIRECT EXAMINATION
6	BY MR. WARREN:
7	Q Will you state your name and present ad-
8	dress to the Tribunal, please?
9	A My name is KUWAJIMA, Kasue.
10	Q Your present address is in Tokyo, I take it?
11	A Tokyo, yes.
12	MR. WARREN: May the witness be handed
13	defense document 2116?
14	(Whereupon, a document was handed
15	to the witness).
16	BY MR. WARREN:
17	Q Will you look at the document you hold in
18	your hand and state whether or not that is your affi-
19	davit and if the contents contained therein are true?
20	MR. WARREN: Tell the witness to answer,
21	not shake his head.
22	THE PRESIDENT: Say yes or . The shake
23	of the head is not recorded and is not always under-
24	stood.
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Yes.

MR. WARKEN: If the Tribunal please, at this time I offer in evidence defense document 2116 2 except the last two sentences in the affidavit on 3 page 2, starting with the words "I was" and ending with the words "military man". By agreement with 5 6 the prosecution I shall not offer that or ask to 7 read it. 8 THE PRESIDENT: Admitted on the usual terms 9 with the last two sentences excised. 10 CLERK OF THE COURT: Defense document 11 No. 2116 will receive exhibit No. 3179. 12 (Whereupon, the document above 13 referred to was marked defense e nibit 14 No. 3179 and received in evidence.) 15 MR. WARREN: (Reading) 16 17 18

"My name is KUWAJIMA, Kasue, and I was graduated from the Waseda University in 1906. In 1911
I passed the diplomatic service examination, entering the diplomatic service in the same year, and was still in the service in 1931, at which time I was Consul General at Tien-Tsin. I held such posts prior to and at the time of the Manchurian Incident.

"As Consul General I collected certain information concerning the accused DOHIHARA, Kenji, which I considered to be in connection with his

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actions with reference to the Manchurian Incident, and wired such information to the then Foreign Ministry. Some of the Asia Bureau of the Foreign Ministry. Some of these wires were introduced in evidence by the prosecution as exhibits 287, 289, 290, 291, 292, 293, 295, 296, 300, and 304, etc. In connection with sending these wires, and similar wires, I have been asked concerning the method I employed in securing the information therein contained.

"In order to fully understand the situation, it is necessary for me to explain that there was disagreement and friction between the Foreign Office and the Kwantung Army regarding Henry Pu-Yi's coming out of retirement. The Army was acting independently of the Foreign Ministry and we received no official information from the Kwantung Army as to the activities of any of its members, and had no means by which I could do so. It may be possible that the Foreign Ministry was receiving such information, but as to that I cannot say.

"I, as Consul General, was far too busy to conduct any personal investigation of the actions of DOHIHARA and had to depend upon my subordinates to collect what information they could. They secured

information as not as n

information from whatever sources were available, such as newspaper articles, conversations held with various people, both Chinese and Japanese, rumors, in short, any information which might tend to throw any light whatever upon the activities, in this instance, of General DOHIHARA. All this information was reported to me and I then sifted the information and then drew my own conclusions. The conclusions which I drew comprised the contents of the telegrams which were introduced in evidence by the prosecution as having been sent from me to the Foreign Minister, or the Bureau of Foreign Ministry.

"This was the only source of information I had, and with the great mass of information, it was quite impossible to check the authenticity of its source. I had to do the best I could under the circumstances as I had no further personal information.

"As for my personal contact with the accused DOHIHARA, I recall that I met him on two occasions, at which time we exchanged merely social conversations."

Now, your Honor, I should like to ask the witness one additional question:

Q Mr. KUWAJIMA, did you place confidence in the reports of the consular police?

A After receiving reports of the consular

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police I never used them as data for telegrams to be sent to the Foreign Office. The reports made by the consular police were not immediately used as material for reports.

MR. WARKEN: Will you repeat that for my benefit? I am sorry, I didn't hear it.

(The last answer of the witness was repeated by the official court reporter).

THE PRESIDENT: On behalf of a Member of the Tribunal, I ask the following question: What were the two opinions of the Kwantung army and the Foreign Office regarding Henry Pu Yi coming out of retirement?

THE WITNESS: According to the standpoint of the views entertained by the Foreign Minister, according to the instructions given me, was that it was not time for Mr. Henry Pu Yi to appear; that it was not time for Mr. Henry Pu Yi to appear in Manchuria.

JUDGE NYI: If it please the Tribunal, the prosecution has a very few questions to ask this witness.

CROSS-EXAMINATION

BY JUDGE NYI:

Q Mr. Witness, on page 1 of your affidavit you spoke of the wires you sent to Baron SHIDEHARA from Tientsin in November, 1931, which had been received and referred to as exhibit 287, et cetera. Was it true, Mr. Witness, that before you sent these wires Baron SHIDEHARA had sent you a telegraphic instruction to stop the abduction plan of Emperor Hsuan Tung and to be on guard?

A I received instructions from the Foreign Minister to see the Emperor Hsuan Tung, that is, Mr. Henry Pu-Yi, and to advise him against going to Manchuria.

Q So you sent these wires, not as a matter of routine but in consequence of the telegraphic instruction of Baron SHIDEHARA, is that correct?

A Naturally it was in accordance with the instructions of the Foreign Minister that I interviewed Mr. Pu-Yi and communicated the advice.

Now, of the ten telegrams you referred to in your affidavit, is it true that you had given the source of the information in each instance?

THE PRESIDENT: Colonel Warren.

MR. WARREN: We object. The telegrams are in

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evidence and are the best evidence of what they contain. JUDGE NYI: Your Honor, we ask these questions on the ground that the present witness is trying to give something which will be contrary to the exhibits which are already in evidence. 6 THE PRESIDENT: He is trying to reduce their value. That is what it amounts to. 8 I think we have heard enough about it. 9 Now a question on behalf of a Member of the to Tribunal: 11 Was the Foreign Affairs Department opposed 12 to Pu-Yi coming out at all? 13 THE WITNESS: Yes. May I have the question 14 repeated to me? 15 (Thereupon, the Interpreter spoke in 16 Japanese.) 17 My understanding and according to the instruc-18 19 tions received by me, it wasn't time for Mr. Pu-Yi to 20 appear in Manchuria. 21 THE INTERPRETER: That it wasn't yet time for 22 Mr. Pu-Yi to appear in l'anchuria. 23 THE PRESIDENT: That is all you know about 24 their attitude?

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THE WITNESS: Yes.

Mr. Witness, in the last paragraph of your affidavit you say that "as for my personal contact with the accused DOHIHARA, I recall that I met him on two occasions, at which time we exchanged merely social conversation."

Was it true that in your telegrams to Baron SHIDEHARA you mentioned that you had several talks with DOHIHARA himself?

A In none of my telegrams have I ever referred to my talking with General DOHIHARA.

THE INTERPRETER: I have at no time in my telegrams reported the results of any conversation with DOHIHARA.

O Let me refresh your memory. In Exhibit 287 you said that you talked with DOHIHARA and conveyed to him your personal opinion. In Exhibit 300 you specifically stated that "I have deliberately talked with him several times not to commit such strocities."

THE MONITOR: Judge Nyi, what part of the exhibit does it refer to?

JUDGE NYI: Exhibit No. 300.

the telegram, please?

THE MONITOR: What page and what paragraph.

JUDGE NYI: In the middle of page 41,397.

THE MONITOR: Could you tell us the date of

JUDGE NYI: November 17, 1931.

MR. WARREN: Your Honor, we should like to object to this method of attempting to impeach the witness. I think the proper method, at least in my country, is to present the witness with the document and let him refresh his memory, and then question him concerning contrary statements.

THE PRESIDENT: The method of cross-examination is his concern; the propriety of what he is doing is a matter for you, Colonel.

MR. WARREN: Your Honor, I was objecting to this method of attempting to impeach the witness.

Perhaps I didn't make myself clear.

THE PRESIDENT: He is perfectly fair to the witness, but I wish he would read the telegram to him. Perhaps it would be sufficient to do what other prosecutors have done and just refer us to the telegram, where it is necessary, but here it is not necessary because the affidavit itself mentions telegrams.

THE WITNESS: The consular police brought much information to my office daily, but it wasn't to be trusted to the extent that it can be used immediately for reporting purposes.

JUDGE NYI: Your Honor, I will just read the

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one sentence which I have just begun, in accordance with your instruction.

THE PRESIDENT: Do so after lunch.

We will adjourn until half-past one.

(Thereupon, at 1200, an adjournment was taken until 1330.)

AFTERNOON SESSION

The Tribunal met, pursuant to recess, at 1330.

MARSHAL OF THE COURT: The International

Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Major Moore.

LANGUAGE ARBITER (Major Moore): If the Tribunal please, exhibit 3177-A, page ?, paragraph marked 1 has been referred. We recommend that the sentence be deleted and substitute the following: "In South China to hear the names of Major General DOHIHARA and ITAGAKI is something like mentioning a tiger and the people turn pale."

THE PRESIDENT: Thank you, Major. Judge Nyi.

KAZUE KUWAJIMA, called as a witness
on behalf of the defense, resumed the stand and
testified through Japanese interpreters as follows:
CROSS-EXAMINATION

JUDGE NYI: May it please the Court, before the recess I was about to read a sente ce in exhibit 300. I think the language section is ready with this document and if it please the Court, I will proceed to read:

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24 25 "I have deliberately talked with him" -meaning DOHIHARA -- "several times not to commit such
atrocities but it appears he is continuing plans to
overthrow Chang and there is apprehension that he may
start another incident in the Peking-Tientsin area in
the near future."

MR. WARREN: If the Tribunal please, the part just quoted by counsel I have been informed by our interpretation section as well as some of the court reporters that there appears to be a misinterpretation and we should like at this time to request the Tribunal to permit us to submit it to the court arbiters.

THE PRESIDENT: We will refer it immediately. What do you suggest is wrong with it?

MR. WARREN: As I understand, the words,
"several times," probably would be "two times," or
not at least that many times; the word, "atrocities"
and what not, is not correct; and "deliberately talked"
appears to be incorrect, as near as I can recollect.
I have marked copies which I can give to them immediately, both in the English and Japanese.

THE PRESIDENT: I do not know how long this light will be on. I want to prevent you from giving these copies to the arbiters. Let them give their judgment in the first instance without reference to

any promptings. MR. WARREN: Your Honor, I am sorry--2 THE PRESIDENT: The time comes when I have 3 to speak against the red light to prevent things 4 5 from being done. MR. WARREN: I am sorry, your Honor. Those 7 were merely copies which we had agreed on. We just 8 had marked the place so that they could find it in 9 referring to it was all. 10 THE PRESIDENT: I wanted to know whether 11 the changes were serious or not. 12 JUDGE NYI: Your Honor, in the interest of 13 saving time I think we can give this Japanese copy to 14 the witness and see what he thinks about it. 15 THE PRESIDENT: Major Moore will advise the 16 Court. 17 MR. WARREN: Thank you. 18 THE PRESIDENT: Proceed with something else 19 in the meantime. 20 JUDGE NYI: I will, thank you. 21 BY JUDGE NYI (Continued): 22 Q Mr. Witness, do you know that your telegrams 23

not only contained reports from sources of your own

of the Japanese Consulate in Shanghai, Nanking and

but they were also corroborated by telegraphic reports

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Peiping regarding the activities of DOHIHARA?

A Regarding that point I did not have any information pertaining thereto so I had no knowledge of them.

Q Did you know that afterwards?

A I did not know because such telegrams were not circulated to my Consulate General.

affidavit you stated that your source of information may be runor. In your experience as a diplomat for twenty years as of that time, was it customary for you to report to your government rumors when you had more reliable sources available?

MR. WARREN: I wish to object for two reasons, your Honor. The first reason is that there is no testimony that there were other reliable sources. The second is that the prosecution itself has introduced evidence which directly bears out the testimony of this witness that any available source of information. Was used and that it was the duty of the Consulate office to draw conclusions. That is their testimony.

THE PRESIDENT: The question does assume that more reliable sources of information were available.

Ask him, were they.

Q Mr. Witness, on two occasions did you say

that DOHIHARA came and spoke to your consulate -- to the staff of your consulate? Were they rumors?

A DOHIHARA came to the Consulate General and came to my own room only once and on that occasion all we did was to exchange greetings and nothing more.

Q In two exhibits that you referred to it is stated that you had personal conversation with DOHIHARA with regard to the removal of Emperor Hsuang Tang to Manchuria?

A I recollect that talks were held with General DOHIHARA through a member of the staff of the Consulate General on two or perhaps three occasions.

insisting on the fallowing points." It looks as though;

Q Didn't you, in exhibit 289, say that "I told him as my personal opinion that even if the Emperor's emergence from retirement could be propagated as owing to the desire on the part of the Chinese in Manchuria, it would be preferable to see the results of the session of the Board of Directors of the League, and in case the Chinese are really desirous and ample measures can be taken, there would occur no difference about his landing place to be Yin-Low or Dairen"?

MR. WARREN: If your Honor please, I have the document before me and it appears patent to me that the quotation is with reference to a conversation held with Emperor Pu-Yi, and not with DOHIHARA. That is my interpretation. Therefore I object to the question.

JUDGE NYI: Your Honor, the language is very clear and this is the conversation with DOHIHARA, if we read from the very beginning.

THE PRESIDENT: The telegram starts this way:
"We tried every means to persuade DOHIHARA but he was
insisting on the following points." It looks as though
you are within your rights in putting that question.

The objection is overruled.

This is a most difficult cross-examination in the circumstances and the difficulties should not be

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added to by making premature objections not properly considered.

MR. WARREN: If your Honor please, I refer your Honor to paragraph 5, and I think a perusal of that will show that my objection was neither premature nor immaterial. I am sincere in my belief that he was speaking of the Emperor Pu-Yi and not of DOHIHARA.

THE PRESIDENT: Proceed to put the question.

JUDGE NYI. Thank you.

Q The next question I am going to put to the witness relates to the telegram, exhibit 300.

THE PRESIDENT: You haven't received an answer to any question yet. You were putting a question but you were interrupted before you completed it. You can abandon it if you like.

JUDGE NYI: These two statements are closely related and I prefer to ask him when I have finished asking him the questions relating to exhibit 300.

THE PRESIDENT: You will proceed differently if you expect the Court to have an intelligent appreciation of your cross-examination. You can not be allowed to jump from one document to another without any explanation. It makes it most difficult for us to follow you if you do that.

JUDGE NYI: Thank you.

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Q Mr. Witness, you stated this morning, and also in the afternoon, that you did not have any discussion with regard to the moving of Emperor Pu-Yi with DOHIHARA personally. After I read the sentence beginning with "I told him as my personal opinion", does that refresh your memory that you did have a conversation with DOHIHARA?

A I do not have the original of the telegram in my hands, so unless I am shown that particular document it is difficult for me to reply. May I have it shown to me?

(Whereupon, a document was shown to the witness.)

The personal opinion mentioned in this document is not my opinion, it is that of one of the staff of the Consulate General, and therefore at the end of this document you will find the words to the effect that it was so said.

THE PRESIDENT: You distinguish between the staff's opinion and your own in this very telegram.

THE WITNESS: In this particular telegram it is said at the outset: "According to a report made by a staff member of the Consulate General," and at the end of this telegraphic report are the words, "he so stated," and that is the way I filed the report.

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The PRESIDENT: Just listen to this: "To this the staff of our Consulate advised him about the international situation and the relation with China proper. Monover, I told him as my personal opinion that even if the Emperor's emergence from retirement could be propagated" etc., etc.

Had you any reason to doubt the accuracy of anything you told Tokyo on that occasion?

THE WITNESS: No. I had no reason to doubt.

THE PRESIDENT: Is there any need to cross-examine on this?

JUDGE NYI: Well, we have just one point which relates to exhibit 300 and I think the Language Section has ready its report on the divergences, the alleged divergences of the translations.

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THE PRESIDENT: Major Moore.

THE LANGUAGE ARBITER (Major Moore): If the Tribunal please, exhibit 300, page 2, line 9, substitute "fully" for "deliberately"," "twice" for "several times" and "rash acts" for "atrocities."

THE PRESIDENT: Read it now as amended.

THE LANGUAGE ARBITER (Major Moore): "I have fully talked with him twice not to commit such rash acts but it appears he is continuing plans to overthrow Chang and there is apprehension that he may start another incident in the Peking-Tientsin area in the near future."

BY JUDGE NYI (Continued):

Q After hearing this correction in my reading have you anything to answer, Mr. Witness?

A There is nothing.

JUDGE NYI: This concludes our cross-examination.
THE PRESIDENT: Colonel Warren.

MR. WARREN: May the witness step down, your

Honor?

terms.

THE PRESIDENT: He is released on the usual

(Whereupon, the witness was excused.)

MR. WARREN: I want to make brief reference to prosecution's exhibit No. 245. This is an affidavit

by Assistant Consul-General MORISHIMA and was introduced by the prosecution and there are only two short sentences one in the middle of the fourth paragraph. I want to refresh the Court's memory in connection with the previous statement in which he states "In order effectively to perform these duties and functions, the Consul-General's office availed itself of all accessible channels of information." He also states that one of the sources was the Consular Police.

In the following paragraph he states: "It was the duty and responsibility of our office to draw conel clusions from information so obtained" --

If the Tribunal please, at this point we should like to offer in evidence defense document No. 2437. This is a record of an interview with General DOHIHARA taken on May 3, 1932. The interview was primarily with Lord Latton, Chairman of the Commission of Inquiry, requested by the League of Nations, commonly referred to as the "Lytton Report." It is an official United States Government document and is duly certified to by J.R. Lovell, Colonel, General Staff Corps, U.S. Army, Deputy Director of Intelligence for Research, War Department, General Staff. This document records the official visit which General DOHIHARA had with the Lytton Commission, and sets out his views with reference

to the events immediately following the Mukden Incident, also his activities in Tientsin and Harbin. It also deals with questions concerning Pu-Yi. There are other references in the document to conditions which then existed and which we believe to be of benefit to the Tribunal. This document is offered for the purpose of showing to the Tribumal that the Lytton Commission had a great deal of confidence in the truth and veracity of General DOHIHARA, inasmuch as a perusal of the Lytton Report will show that in their statement of fact with reference to the subject upon which they conversed with General DOHIHARA, they accepted, in almost every instance, his version of the situation. is offered for the further purpose of showing that this ties into our general testimony, which will be offered and which has been offered and which will be connected, that contrary to the impression left by the evidence of the prosecution, the accused, General DOHIHARA, did have the confidence of the masses of people, as well as of the officials of the places where he was stationed. In act, this document will show that Lord Lytton himself complimented General DOHIHARA on his accomplishment, not only in his conversation with him on May 3, 1932, but at a later date when the Lytton Report was compiled. THE PRESIDENT: After that lengthy statement,

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do you think you ought to read the document if it is admitted?

MR. WARREN: I assume the Tribunal has the document and have read it, and unless my co-counsel see fit to read it if the Court desires to accept it, I won't read it. I will talk to them and see what they suggest.

We have no desire to read it.

THE PRESIDENT: The document is admitted on the usual terms.

CLERK OF THE COURT: The volume entitled "Far Eastern Commission of Inquiry" will receive exhibit No. 3180 for identification only. The excerpts therefrom, being defense document No. 2437, will receive exhibit No. 3180-A.

(Whereupon, the document above referred to was marked defense exhibit No. 3180 for identification; the excerpts therefrom being marked defense exhibit No. 3180-A and received in evidence.)

MR. WARREN: If the Tribunal please, I have a running commentary here which I will disregard and ask them not to pay attention to it for this reason: I had intended to read extracts from the Lytton Report, but I find in my marked copy since coming to court that

the prosecution had already read this and I will merely make reference at this time to the fact that General DOHIHARA was at Mukden for one month and put the city back on an even keel and the Lytton Commission praised him for that at that time. That appears on page 88. And, there was another extract in substant. tiation which I will not read at this time. May we have called at this time the witness 9 YAZAKI, Kanju. 10 11 13 15 16 17 18 19 20 21 22 23 24 25

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KANJU YAZAKI, called as a witness on behalf of the defense, being first duly sworn, testified through Japanese interpreters as follows:

MR. WARREN: May the witness be handed defense document No. 2053?

(Whereupon, a document was handed to the witness.)

DIRECT EXAMINATION

BY MR. WARREN:

Q Will you state your name and your present address to the Court, please?

A My name is YAZAKI Kanju; my address is 167, 3-Chome, Nobuto-cho, City of Chiba.

Q Will you please look at the document which you have in your hand and tell the Tribunal if that is your affidavit and whether the contents are true?

A This is my affidavit, and the contents are correct.

MR. WARREN: If the Court please, at this time we desire to offer in evidence defense document No. 2053 with certain deletions which I shall name, which have been agreed upon with the prosecution.

On page 2, the second paragraph, "At the time the China Affair broke," there is a comma there, and then these words will be stricken and not offered:

"General DOHIHARA deeply regretted that it had come about and" -- that will not be offered.

I have also agreed that on page 8, the middle of the first paragraph, starting with the words, "Whenever trouble arose," continuing through the balance of that paragraph and ending with the words, "Greater East Asiatic War" -- that I have agreed with the prosecution that the objection has merit, and we will not offer that.

However, I understand there are some other sections which we could not agree upon, which the prosecution will probably wish to make objection to and have the Tribunal pass on its admissibility.

THE PRESIDENT: Judge Nyi.

JUDGE NYI: May it please the Tribunal, in addition to the deletions made by my learned friend, Mr. Warren, we have further objections to part of that affidavit.

The part which we objected to appears on page 5, paragraphs 1, 2, and 3, which extend to the first line of page 6. This part of the affidavit contains talks made by DOHIHARA on different occasions. In our submission, they are both self-serving and repetitious.

It is nothing but the utterance of DOHIHARA

after the hostilities broke out. One can whitewash anything he does not think proper. So, this
will be of no probative value.

Furthermore, it is repetitious. Almost the exact language was used in the SHIBAYAMA affidavit, the last seven lines of page 1 of SHIBAYAMA's affidavit.

In substance, the talks referred to the understanding between the Chinese and the Japanese refraining from using force. Although it is amplified a little bit here, we still do not see the necessity of amplification.

We would like to refer to the ruling of this Tribunal, made on September 11, with regard to the press interview given to Hugh Byas, a British correspondent. There your Honor correctly stated the law, that no self-serving documents are admitted in national courts. Furthermore, there were --

THE PRESIDENT: I think you have stated your reasons sufficiently --

JUDGE NYI: Thank you.

THE PRESIDENT: -- but I find it difficult to follow why you should ask us to strike only numbered paragraphs 1, 2, and 3 on pages 5 and 6, and leave other matter which seem to me to be equally

objectionable and which are related to those three numbered paragraphs.

JUDGE NYI: We will, your Honor, if your Honor suggests, object to the other parts which are equally objectionable.

THE PRESIDENT: I am not here to advise you, but just to understand you.

JUDGE NYI: Thank you.

In our submission, the deletion of these three paragraphs might suffice the purpose.

THE PRESIDENT: Colonel Warren.

MR. WARREN: Your Honor, we submit that none of these portions or the other portions referred to are self-serving declarations. We would have to assume that General DOHIHARA knew at the time these declarations were made that we would have a Pacific War and he would be in the box and would be tried, or at least there must have been some intimation.

They are not speeches. They are explanations of his policies, which he gave to his subordinate officers, and the formulation of the policies, which he had, he gave to them. It was not a speech, and the man who testified was his subordinate officer and testified what he heard.

They are not newpaper articles printed by a

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man for the press.

They are not repetitious because the period of time spoken of here does not cover the same period of time spoken of in the previous affidavit.

I submit, your Honor, that, insofar as my practice of law permits me to state, this would be admitted, this document and testimony would be admitted, in any criminal proceedings in any court in the United States, and any failure to admit it would place the Court in jeopardy of reversible error.

THE PRESIDENT: We do not want to know what would be done in the United States or in Britain or anywhere else; but, I am quite sure what you said is an exaggeration.

MR. WARREN: Your Honor, I am quite sure it isn't.

THE PRESIDENT: I am concerned with the way the affidavit will be left if the objections taken are sustained. However, we cannot add to the objections.

The objection is upheld and the document is admitted as to the part unobjected to and not deleted, on the usual terms.

CLERK OF THE COURT: Defense document 2053 will receive exhibit No. 3181.

(Whereupon, the document above referred

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MR. WARREN: He states:

"My name is YAZAKI, Kanju, and that I was a professional army officer and held the rank of Ligutenant General at the termination of hostilities between the Allied Nations and Japan. That during my life as an army officer I became well and personally acquainted with one DONIHARA, Kenji, who is now one of the accused on trial before the International Military Tribunal for the Far East. My acquaintance with the former General DONIHARA covers a number of years and I have been intimately acquainted with him since the time I was a Captain.

"Prior to the China Incident and during the month of March 1937, the accused DOHIHAFA was appointed Commander of the 14th Division of the Japanese Army, which was then stationed in Utsunomiya, on the Island of Honshu, in Japan, which was its permanent station. At the outbreak of the China Incident in July 1937, the accused DOHIHARA was still the Commander of the 14th Division and I was then a Lieutenant Colonel on his staff. In August we were ordered to North China, where we were engaged in military operations in the region along the Peking-Hankow Railroad, where I continued to serve as his staff officer.

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"At the time the China Affair broke and when we were departing to North China under our mobilization orders he gave us instructions and orders, which were later repeated on the battlefield, in which he emphasized that the China Affair was not a war of aggression but was aimed ultimately at cooperation between Japan and China. He especially enjoined us that a rigid enforcement of regulations and orders pertaining to the protection of the Chinese people would be had. During operations and on the battlefield Divisional Commander DOHILARA gave his primary attention to purely strategic matters and, of necessity, left the details to his subordinates. However, he never lost sight of his duty as an officer to his subordinates and never hesitated to assist them personally in the discharge of their duties, whether they were important or trifling, when it came to the protection of the Chinese people. He used to pay minute attention to duties concerning Chinese people to avoid injury or oppression on noncombatants.

"In order to illustrate my point, I would like to cite some examples which I have personally seen.

"Once during operations on the river

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Yungting-ho his men had decided to use a Chinese farmer's house to set up a command post for General DOHIHARA. However, when this was called to the attention of General DOHIHARA, he noticed there were Chinese women and children taking refuge in the house, and forbade his subordinates to enter. He had his office located in front of the house where he, his staff officers and subordinates camped in

the open air and attended to their business.

"Again near Paoting he observed some of his men burning firewood which belonged to Chinese farmers, which they had appropriated in order to warm themselves. General DOHTHARA immediately rode to the spot on horseback, scolded them soundly and made them stop at once.

"Again, near Paoting General DONIHARA saw
a Japanese soldier on the march having a Chinese
carry his knapsack, and immediately reprimanded the
soldier. The soldier stated that he had employed the
Chinese and had promised to pay him for the work. In
order to be fair General DONIHARA ordered the Japanese
soldier to re-negotiate with the Chinese in his
presence and when it became clear that the soldier
could not speak the Chinese language the General ordere
that the soldier immediately relieve the Chinese of

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the knapsack and cautioned him severely concerning his future conduct in such matters.

"Many such instances could be set forth.

However, I mention these three only to show that
even in matters which might be considered as
trifling General DOHIHARA did not permit the abuse
of civilians and noncombatants. I have heard his
subordinates on more than one occasion state in
substance, 'Our Divisional Commander loves the
Chinese better than the Japonese soldiers.'

"General DOHIHARA had a high regard for
the Chinese people and for noncombatants, as such. I
have never known an occasion when the Chinese general
public in any jurisdiction under the command of
General DOHIHARA were not settled and peaceful
towards the Japanese forces under his command. They
continued in their business operations without interruption and their stores and merchandising establishments continued to prosper. It was not uncommon for
the Chinese to assist the Japanese soldiers voluntarily in drawing water, preparing meals, cleaning
houses, carrying goods and other such daily routine
matters. In fact, their regard for General DOHIHARA
was such that they would inform him of any bandit
attack which they anticipated."

Obviously to read the next paragraph would be futile, and I shall not do so.

Continuing on page 6:

"Not only did General DOHIHARA often speak in the manner which I have just related, but he endeavored to execute his own views and to place them in practice. It was not a matter of mere words with him, but also a sincere attitude on his part, and he was known throughout the division for his sincerity to his ideals and teachings.

"All I have stated is just by way of illustration of the attitude of General DOHIHARA and his behavior in camp and on the battlefields. He was constantly instructing and advising not only his staff officers, but all of his subordinate officers and men and we found his instructions and admonitions to be of great value in peacefully dealing with the Chinese people.

"As I knew him well General DOHIHARA often confided in me; both by his behavior and his speech I knew he held an opinion opposed to the China Affair from the very beginning. However, as an army officer, he had no choice except to obey the orders of his superiors, but even in camp, to his intimates, he always deplored the China Affair.

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"In the 13th year of Showa, February, 1938, due to illness, I was returned to Japan and served with the basic echelon of the 14th Division at Utsunomiya. After my return I continued to live correspondence with General DOHIHARA and one of the letters which I received was under date of April 22, 1938. This letter was safe-handed (carried by messenger) from the North China fronts and I still have it in my possession. In this letter he states:

"Our state has no basic strategic principle established for the affairs and seems to be operating passively decoyed by the opponents and is, as it were, leading a hand-to-mouth existence. Moreover, without strengthening the scaffold on which she stands, she supports both the North and the Central China new regimes, which are just like castles on the sand, and unconscious of the urgent need of consolidating the foundation, tries to arrange mere form lities of these governments. I am greatly disappointed at this attitude of our state, and am very much concerned about it. I have no intention to rejoice in giving a big talk which is of no use. I beg you to explain, whenever you may have a chance, to the authorities with regard to the above-mentioned points and call for their reflection ... '

"By way of explanation, the north regime refund to was Wang Ko-min's temporary government, and the Central China Government was Liang Hung-chih's restoration government. Knowing General DOHIHARA as I had, I knew this letter was but another of his protests against the entire China Affair, I realized that he knew his views were shared by me and that he expected me, wherever possible, to assist him.

"General LOHIHARA, from young manhood onward through his career cherished an ideal for enhancement of friendly relations between Japan and China, and while he was in the Army College he made a special study of the Chinese language and of the history of China. After graduation he was appointed a member of the General Staff and was sent to China, where he stayed for many years. His name became well known, even to the Chinese civilians. During his years in China and Manchuria he served on two occasions as Chief of the Special Services Organization at Mukden. This organization was primarily for the purpose of gathering military information and intelligence.

"I desire to further state that I have personal knowledge of everything I have stated in this affidavit."

THE PRESIDENT: Judge Nyi.

JUDGE NYI: If it please the Tribunal, the

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prosecution has a few questions to ask the witness.

CROSS-EXAMINATION

BY JUDGE NYI:

Q Mr. Witness, beginning the middle of page 4 of your affidavit, you spoke of the movement of the Chinese general public in the war areas. Have you been attached to some other units besides DOHIHARA's in the China War?

A Yes, I have.

Q According to your knowledge, was it customary for the local Chinese residents, after the operations were over, to move back and to resume their occupation?

A Yes, it was.

Q Have you any knowledge as to how many per cent of the local population were forced to leave their homes and go and settle down in the interior?

A I do not know.

Q In the third paragraph on page 6 of your affidavit, you stated that DOHIHARA was opposed to the China Affair from the very beginning. By "China Affair" do you mean the hostilities which started at Marco Polo Bridge in 1937?

A That was my intention in speaking of it.

Q Did General DOHIHARA ever tell you that he

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time

deplored	the Mukden Incident in 1931?
A	No, I did not hear such a thing at the tim
of the M	anchurian Incident of 1931.
Q	I am not asking you whether you heard any-
thing at	the time of the happening of the Mukden
Incident	in 1931, but I am asking you whether you
heard an	ything that he deplored the Mukden Incident
after th	at?
A	I have heard such comments frequently.
Q	From General DOHIHARA?
Λ	Yes.
	THE PRESIDENT: We will recess for fifteen
minutes.	
	(Whereupon, at 1445, a recess was
tak	en until 1500, after which the proceedings
wer	e resumed as follows:)
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MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now resumed.
THE PRESIDENT: Judge Nyi.

BY JUDGE NYI (Continued):

Q Mr. Witness, just before the recess you were telling us that General DOHIHAKA also deplored the Mukden Incident.

A Yes, that is so.

Q But you didn't see fit to put this in your affidavit, did you?

A That is not the case. I only wrote in my affidavit parts of the matters relating to General DOHIHARA and not all.

Q Do you know that not long after he wrote you the letter which you refer to in your affidavit General DOHIHARA was summoned back to Japan and he was given an important assignment to head a Kikan or an organ called the DCHIHARA Organ to work for the withdrawal of Chinese Generals from current hostilities?

A I heard of that as a rumor, but I did not hear that directly from General DOHIHARA.

Q How do you know that was a rumor?

A I do not know.

JUDGE NYI: We have no further questions.

MR. WARREN: At this time may the witness step down, your Honor? THE PRESIDENT: He is released on the 3 usual terms. (Whereupon, the witness was ex-5 6 cused.) 7 MR. WARREN: We will call as our next 8 witness W.T.SE, Ryosuke. 9 10 RYOSUKE WATASE, called as a witness on 11 behalf of the defense, being first duly sworn, 12 testified through Japanese interpreters as 13 follows: 14 DIRLCT EXAMINATION 15 BY MI. WARREN: 16 Q Will you state your name and your present 17 place of residence to the Tribunal, please? 18 A My name is WATASE, Ryosuke; my present ad-19 dress, No. 857 Shimotakaido, 4-chome Suginamiku, 20 Shibayama, Tokyo. 21 MR. WARREN: May the witness be handed 22 defense document 2107? (Whereupon, a document was handed 24 25 to the witness.) Q Will you look at that document which you

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have, Fr. Witness, and tell the Tribunal whether or not that is your affidavit and if the contents thereof are true. A This is my affidavit, and its contents are true. Mi. WARREN: At this time, your Honor, we should like to offer in evidence defense document No. 2107. THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 2107 10 will receive exhibit No. 3182. 12 (Whereupon, the document above 13 referred to was marked defense exhibit 14 No. 3182 and received in evidence.) 15 16 17 18

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MR. WARREN: The witness states:

"That at the present time I am employed by
the Tokyo Mainichi Press as a member of their staff,
as Chief of the Investigation Board of the Editor
Bureau. I have been asked if I am acquainted with
the accused LOHIHARA, Kenji, and if I were familiar
with his activities immediately following the Lukouchiao Incident of 7 July 1937 (12th year of Showa).

"At that time I held the post of Vice Chief of the Political Department of the Osaka Mainichi Press and shortly after July 7, 1937, to be exact on July 14, 1937, I arrived at Tientsin. The China Incident had not been settled locally and, as I recall, one of the objects then in mind was for the Army to advance towards Pouting. The operation was under the direct command of Lieutenant General KATSUKI, Kiyoshi, who initiated the actions and was in active command of the offensive operations. As I recall, there were three divisions, one under the command of General TANI, Hisao, who took the central offensive along the Ching-Han line. This was the 6th Division. Lieutenant General KAWAGISHI, Bunsaburo, was on the right of the border between Hopei and Shansi Provinces, while Lieutenant General DOHIHARA moved on the left in the direction of Kuan and Manching, moving almost south

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1 toward Pouting.

"I was attached to the correspondent work 3 with the Tani unit and consequently on the march to Pouting I was not in a position to observe General 5 DOHIHARA. However, our move was successful and 6 without encountering any major opposition we, that 7 is, the Tani unit, entered into the fortress of 8 Pouting on September 24, 1937. The unit under the command of General DOHIHARA entered the following day. As soon as I learned General DOHIHARA had arrived, I went to visit him at his command headquarters, where I held a conversation lasting over a period of approximately two hours. We had many interruptions but I would state that I talked with him during that period of time at least one hour. I found him very cooperative, frank, and perfectly willing to answer my questions. As nearly--"

At this point in my printed copy they left out a complete line and it should read: "As nearly as I can recall the conversation General DOHIHARA in answer to my questions, told me that apparently because of the action of Japan there was little choice except to continue an offensive; that after the initial step had been taken it was foolish to hope that the attack on Pouting would conclude Japan's action against China;

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that the original incident, regrettable as it was, had aroused the Japanese to the point where further action unquestionably would have to be taken."

I am sure that word "Japanese" should be "Chinese."

"He told me that the National Government would certainly continue to resist and that the only alternative was for the Japanese Army to withdraw of its own accord, and it did not appear that any such action would be taken by those in command. When asked how far he believed Japan would have to advance, he answered in substance, that since such conditions had come to pass as I, as a correspondent, had observed, that Japan has no way but to continue to attack, becouse the Chinese territory is boundless and it was impossible for him to state how far he believed Japanese forces would be required to go. He said there were many great difficulties before the Japanese and that no hasty judgment could be formed. However, he did state that the Chinese public, as distinguished from the Central Government, could not be considered as enemies of Japan and that to consider them as such would be a great mistake. Believing such to be true, he had placed rigid discipline on his troops with reference to the treatment of Chinese civilians; that he

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had warned his forces not to harass the Chinese public or non-belligerents, and that especially in time of battle his subordinates were not to injure the general feeling of the Chinese public. He stated that it was his policy to strictly punish, by military discipline, those who conducted themselves in any manner so as to harass the peaceful general public under any situation that was not absolutely necessary, in order to safeguard their own lives and property. He stated that this policy would be put in practice within his jurisdiction because Japan should never cause the Chinese public to become her enemy. In short, General DOHIHARA was deeply worried about the future of the Sino-Japanese war, was willing to talk about it, and displayed, by his actions, his feelings in the matter. He was genuinely concerned over the enormous damage which would inevitably result to the Chinese public.

that General DOHIHARA did not display a single sign of pleasure as a result of the victorious attack on Pouting. On the contrary, I observed his deep worry about the future as caused by the incident. As the same time, after my interview with General DOHIHARA, I felt that I had come closer in touch with the real nature of the Sino-Japanese war than ever before. I

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came to seriously consider that Japan had now reached the moment when she must reconsider the then current situation. General DOHIHARA's interview had a profound effect upon my understanding of Sino-Japanese relations.

"Subsequently, I was not attached to General DOHIHARA's unit but I had many friends who were, and while I did not question his sincerity, I was interested to see whether he would continue the policy towards the Chinese people which he had outlined. I had many friends who were with DOHIHARA's unit from time to time and in my conversations with them they verified that not only did he carry out the policy, but as a matter of fact so strict was he with reference to his subordinates in carrying out the policy which he had outlined that there was often some dissatisfaction among his troops, and that they sometimes openly stated to the corresponents that it appeared as though General DOHIHARA loved the Chinese more than he did his own soldiers, and that he might treat them a little more liberally, especially in war It is true there was dissatisfaction with time. General DOHIHARA's troops and that it was generally attributed to the strict control which he exercised with reference to the treatment of non-combatants.

"It is also a fact that this strictness on the part of General DOHIHARA became widely known among the Chinese public and it is also a fact that they would remain in their homes when General DOHIHARA made an advance into their territory. As a result of that treatment, it is also true that the Chinese public supplied provisions and labor and otherwise assisted General DOHIHARA's unit."

THE PRESIDENT: Judge Nyi.

JUDGE NYI: Your Honor, the prosecution does not desire to cross-examine this witness.

THE PRESIDENT: The witness is released on the usual terms.

(Whereupon, the witness was ex-

MR. WARREN: If the Language Section will refer to my running commentary, page 2, last paragraph -- At this point, if the Tribunal please, I should like to offer in evidence defense document 1498 which is an article appearing in the Japanese periodical "Bungei Shinju" for March, 1937. This article was written by General DOHIHARA and will substantiate the testimony of our witnesses who testified to the effect that General DOHIH.RA had certain well defined ideas concerning the relationship between Japan and China, but that, in addition, he incorporated his ideas into a well defined policy which he enforced in the field. This document will show he also used whatever medium he had at his command to carry his message to the Japanese people. The document reflects the thoughts, ideas and feelings of the accused at that time, and shows conclusively that his published ideas were diametrically

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opposed to any common plan or purpose which might bring him within the purview of the alleged conspiracy charged in the Indictment in the Counts thereunder.

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Now, if the Tribunal please, if this document is accepted, it is rather length, and the Tribunal has undoubtedly scanned it, we do not desire to read it.

THE PRESIDENT: Judge Nyi.

JUDGE NYI: May it please the Tribunal, the prosecution objects to the introduction of this document on the ground that it is both self-serving and repititious. I am not going to repeat what I have just stated with regard to the talks in the YAZAKI affidavit. I would just like to point out to the Tribunal that the gist of this document, of this speech, this article may be gathered from the second paragraph on page 1. It reads, "In a word, we may say that this was caused from the fact that the Chinese people were not thoroughl; informed as to our real intentions and, on the contrary, our activities up to this day have only led them to harbour the feeling of suspicion and misunderstanding." In other words, he was urging understanding the Chinese and the Japanese people which had been

brought up in SHIBAYAMA's affidavit, the last few lines of page 1, and also in the affidavit of the last witness, Mr. YAZAKI.

This is a written article, and the speech is self-serving. Documents like press interviews have been rejected by this Tribunal in the last few days; and, in our submission, there is little difference between a written article and speeches and also the press interviews which have already been rejected.

THE PRESIDENT: Colonel Warren.

MR. WARKEN: We submit, your Honor, as a matter of legal principle, a document written by an accused during a period of time when a alleged conspiracy is supposed to be leading its life is not a self-serving declaration. This document sets out the feelings of General DOHIHARA at that time without any thought in his mind or any intimation that he would be in the box or might be tried for his acts.

As for newspaper articles and magazine reports and so on, the Court has ruled on those, as
I understood, because they were liable to reflect
the editorial opinion of the writer. This is the
work of the accused, himself, and their objection

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could not possibly lie in the estimation of this counsel. It is not repititious because this is the first and will be the only document which we offer in defense of General DOHIHARA which was compiled and written by himself.

JUDGE NYI: Your Honor, this article was written in March, 1937. This was after the Mukden Incident, 1931, and also the 1935 confusion in North China. It is self-serving in our submission. On a similar occasion my learned --

THE PRESIDENT: Do not repeat that. We do not want to hear that twice. It is doubtful as to whether you should be heard at all a second time. By a majority, the objection is overriled and the document admitted on the usual terms.

CLERK OF THE COURT: The periodical "Bungei Shunju," dated March, 1937, written by DOHIHARA, Kenji, will receive exhibit No. 3183 for identification only. The excerpt therefrom, being defense document 1498, will receive exhibit No. 3183A.

(Whereupon, the book above referred to was marked defense exhibit
No. 3183 for identification; and document
1498, an excerpt therefrom, was marked
defense exhibit No. 3183A and received

in evidence.)

THE PRESIDENT: You told us what is in it. MR. WARREN: At this time, may we call the witness SAKURAI.

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1	TOKUSABURO SAKURAI, called as a	
2	witness on behalf of the defense, being first	
3	duly sworn, testified through Japanese inter-	
4	preters as follows:	
5	DIRECT EXAMINATION	
6	BY MR. WARREN:	
7	Q Will you state your name and your present	
8	address to the Tribunal, please?	
9	A My name is SAKURAI, Tokusaburo. My present	
10	address is 665 Yukigaya-cho, Ota-ku, Tokyo.	
11	MR. WARREN: May the witness be handed	
12	defense document 1485?	
13	(Whereupon, a document was handed	
14	to the witness.)	
15	Q Will you look at the document which you hold	
17	and tell the Court whether or not that is your affi-	
18	davit and whether or not the contents thereof are true?	
19	A Yes, this is my affidavit and it is correct.	
20	MR. WARREN: At this time, if the Tribunal	
21	please, we offer in evidence defense document No.	
22	1485, but by agreement with the prosecution, we wish	
23	to delete therefrom paragraph 4 on page 3, commencing	
24	with the words "On 18 April 1938," continuing through	

the entire paragraph.

THE PRESIDENT: That means the whole of

page 4 goes out.

MR. WARREN: Yes, sir, it does, your Honor. And page 10, or page 9, paragraph 12, commencing with the words "I had been personally acquainted" and continuing through the balance of the affidavit.

THE PRESIDENT: Admitted on the usual terms, subject to those deletions.

CLERK OF THE COURT: Defense document 1485 will receive exhibit No. 3184.

(Whereupon, the document above referred to was marked defense exhibit No. 3184 and received in evidence.)

MR. WARREN (Reading): "1. While I was serving as a staff officer of the 5th Division, from May, 1932, to July, 1934, General DOHIHARA was Commander of the 9th Infantry Brigade, belonging to the same Division.

"2. I was appointed a staff officer of the 1st Army Corps immediately after the outbreak of the China Incident in August, 1937, and remained in this position until November, 1938. In the meantime, General DOHIHARA was Commander of the 14th Division, belonging to the 1st Army Corps.

"From 15 April to 12 June, 1938, while I was thus serving as a staff officer of the First Army

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Corps, the 14th Division carried out the following operations simultaneously with the battle of Hsuchow: the crossing of the Yellow River, the interception of the Lung-Hai Railway and the capture of positions around Langfeng and of the walled city of Kaifeng.

In the course of these operations, I was attached to the 14th Division as an additional staff officer in charge of operations. Serving on the staff of General DOHIHARA, and receiving practical training from him night and day in the thick of battle, I performed my duties as a staff officer responsible for operations, while fierce fightings went on.

13. Early in 1938, the headquarters of the lst Army Corps was in Shihkiachwang (now called Shihmen) and the headquarters of the 14th Division in Sinsiang (about two hundred and fifty miles to the south of Shihkiachwang.) I was chiefly engaged in the service of supply, as a staff officer of the Third Section in the headquarters of the 1st Army Corps. A part of the Supply Unit with motor lorries of the Transport Unit, and of the Communication Unit, under the direct command of the 1st Army Corps, were temporarily attached to the 14th Division stationed at Sinsiang. Officers and men of these units who, either having been relieved of their duties or having served their time in the

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garrison area of the 14th Division, and returning to the 1st Army Corps, unanimously told me as follows:

"General DOHIHARA had such deep concern over the protection of the Chinese masses that he always issued strict orders. At the same time, he taking the lead personally saw to it that his orders were actually carried out, and so, all members of the Division thoroughly observed his orders. Consequently, whatever region the DOHIHARA Division occupied, its inhabitants, who had taken refuge elsewhere, would come back to their homes in a day or two and resume their work as peacefully as before. As for the degree of General DOHIHARA's love towards his subordinates, there was among the officers and men of supply units such saying as Chinese civilians first, then the 14th Division, and then units directly attached to the 1st Army Corps."

* * *

out the operation of crossing the Yellow River, my division had to leave Sinsiang, site of the divisional headquarters for Puyanghsien, to the east of Sinsiang, where we were to begin our preparations for that crossing operation. The people of Sinsiang had such great confidence in General DOHIHARA that we could not

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leave the place without bidding farewell to the President of the Business Club, and all others. However, a river crossing operation required, first of all, to be kept secret, and to tell them that we were moving to the east would have revealed the movements of our division. Therefore, although we were in reality moving to the east, I told the President of the Business Club that we were going westward. Thereupon, the President of the Business Club said that he was sorry to part from General DOHIHARA, whom he loved and admired from the bottom of his heart, that the Sinsiang inhabitants would like to give a grand farewell banquet in his honor, and all men and women, both young and old would like the streets and when we started. As a staff officer in charge of operations, however, I had to dissuade him from doing anything of the sort because it was sure to reveal the movement of the division. Thus, on May 5, 1938, the headquarters of the Division started by train from a small station, over five miles distant from Sinsiang, instead of starting from Sinsiang station, which was more likely to attract public attention. Once the people of Fingsiang gave to General DOHIHARA a piece of red bunting with letters in gold, in praise of his high moral character.

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On the night of 11 May, 1938, in the 116. face of the enemy, the 14th Division under the command of General DOHIHARA resolutely carried out the operation of crossing the Yellow River in the vicinity of Puyang-Hsien, and the enemy by surprise by virtue of thorough preparations and the bravery of the officers and men, dealing the opposing forces a severe blow and succeeding in the extremely difficult operation of crossing a river in the face of the enemy. a chase started on the following, 12th. When marching, I always rode on a horse and proceeded immediately behind General DOHIHARA. On the evening of the 12th, we caught sight of the war-stricken Chinese, young and old, with quilts on their backs, fleeing southwards across a vast plain and taking cover in an immense expansion of barley fields. Noticing this, General DOHIHARA ordered his interpreter, TAKEDA, the chief guard, and some others, who were marching beside him, to tell the Chinese as follows:

intention of attacking anyone but the Chinese forces who oppose us. The people at large had better return to their homes and resume their work.' In addition, General DOHIHARA himself, speaking in Chinese, told men, women and children hurrying by with quilts on

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their backs to return to their homes. Although the villagers fled at first from their homes, they, relying on the words of the General and his men, returned afterwards to their village. After they returned home, they served tea and refreshments to the officers and men of the Japanese Army.

Our Division launched an attack on the walled city of Kaifeng in the afternoon of June 5. It was customary in those days, before launching an attack on any city, for us to be given by the high command a large-scale map on which were marked in red ink positions of churches, hospitals, and establishments that were within the rights and interests of the United States, Great Britain, France, etc. We were under strict orders not to direct shell fire or launch attack against them. In the walled city of Kaifeng were also such churches, hospitals, and other buildings belonging to America and England. Laying particular stress on this point, therefore, General DOHIHARA repeatedly warned his men, giving additional orders to be cautious in their assault, especially in artillery attack.

"8. On the night of the 5th of June, our Division captured the walled city of Kaifeng. A section of our forces mopped up enemy remparts in the

city, while other units pursued the retreating enemy far in the direction of Chungmow. Soon after the occupation, in the evening of the 6th of June, General DOHIHARA, accompanied by his staff officers, inspected the walled city, lest looting and similar acts should be committed. The inhabitants had all fled because of the previous day's bombardment, and there was hardly a soul to be seen in the streets. When General DOHIHARA turned a street corner, he saw a Japanese soldier coming out of a Chinese house with a chicken in his right hand; whereupon, he spoke directly to the soldier, 'You should not have looted a Chinese civilian, disobeying my every day instructions. What if its owner upon his return should think that Japanese soldiers had stolen his chicken? Return it at once to where it came from!' The Division Commander personally setting good examples, even the rank and file came to observe thoroughly his instructions. In due course, the name of 'the DOHIHARA Unit' became the equivalent of 'doing no harm to Chinese civilians.' As it gradually became known to the refugee Chinese civilians that that very DOHIHARA Unit captured the walled city of Kaifeng, two days later they came back to resume their daily work.

"9. Just when the TOSHIMA Brigade pursued

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the enemy to the vicinity of Chungmow, the enemy broke open the Yellow River embankment, instantly the district was flooded over. The IWAKURA Engineers
Unit was engaged in the rescue work with the help of their collapsible boats. When the unit commenced this rescue operation a large number of Chinese civilians also were suffering damages. The Chinese who were rescued from drowning expressed their hearty thanks to the Unit.

"10. For this operation action, General DOHIHARA praised Colonel IWAKURA, of the Engineers, who commanded the Engineers Unit.

ward march for the purpose of intercepting the Lunghai Railway, at night we often saw fire burning in the distance. On such occasions, General DOHIHARA looked back at me and urged me to take all possible precautions lest Chinese houses shall be burnt. He said, 'Warn my subcrdinates repeatedly to put fire out completely whenever they leave cannon grounds, so that no fire may break out 'General DOHIHARA continuously enforced strict discipline among his men in regard to fire, so that not a single house was burnt in the Division's operational and garrison area. * * * "

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THE PRESIDENT: Judge Hsiang. JUDGE HSIANG: If it please the Tribunal, the prosecution does not propose to cross-examine the present witness. THE PRESIDENT: He is released on the usual terms. (Whereupon, the witness was excused.) MR. WARREN: May we have HATTORI, Takushiro?

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TAKUSHIRO HATTORI, called as a witness on behalf of the defense, being first duly 2 sworn, testified through Japanese interpreters 3 as follows: DIRECT EXAMINATION 5 BY MR. WARREN: 6 Will you state your name and present address 7 to the Tribunal, please?

My name is HATTORI, Takushiro. My address is No. 42 Honmura-Cho, Shinkuku-ku, Tokyo.

MR. WARREN: May the witness be handed defense document 2230?

(Whereupon, a document was handed to the witness.)

O Will you examine that document, please, and tell the Court whether or not that is your affidavit and if the contents thereof are true?

A This is unquestionably my affidavit. Its contents are true.

MR. WARREN: At this time, if the Tribunal please, we offer in evidence defense document 2230 except the last paragraph on page 3 commencing with the words "knowing the situation" and ending with "Nomonhan Incident."

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 2230 will rece've exhibit No. 3185.

(Whereupon, the document above referred to was marked defense exhibit 3185 and received in evidence.)

MR. "ARREN (reading): "My name is HATTORI, Takushiro, and I now reside in the City of Tokyo. I am an ex-Colonel of the Japanese army and at the time of the Nomonhan Incident I was a Staff Officer of the Kwantung Army. I have been asked if I know whether or not the accused DOHIRA, Kenji, was in any manner concerned with the Nomonhan Incident. I do know and can state as a positive fact that he was in no manner concerned with the Incident. He held the post of Attache to the General Staff from June, 1938, and was appointed Commander of the 5th Army on May 19, 1939, which post he held until he was appointed as a Supreme War Counsellor on September 28, 1939. The Nomonhan Incident occurred on the 12th of May, 1938, just a few days before General DOHIHARA became commander of the 5th Army. The headquarters of the 5th Army was established at Tung-an in Eastern Manchuria and its primary function was the defense of that immediate area.

"As a Staff Officer of the Kwantung Army I

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knew that the creation of the 5th Army, of which General DOHIHARA was the first commander, had nothing to do with the Nomonhan Incident. The 5th Army had, as subordinate units, the 11th and 24th Divisions, the 3rd Cavalry Brigade and the Futau Border Garrison.

None of these units participated in any manner in the Nomonhan Incident, which was going on and continued to go on for a short time after General DOHIHARA's appointment as commander, of the 5th Army. I know that General DOHIHARA was never transferred to an area that had any connection whatsoever with the Nomonhan Incident, but confined his military duties to defense in the Tung-an area.

"Confusion may have arisen as to the participation of the 5th Army in the Nomonhan Incident because after it developed, the Commanding General of the Kwantung Army withdrew machine gun units, morter corps, and other such units from each army in Manchuria and added them to the 6th Army, or the 23rd Division, which was then fighting at Nomonhan. Units were taken from the 5th Army as well as from other available sources, but General DOHIHARA was not officially concerned in any manner with the withdrawal of such units from his command and did not, and could not have issued the orders for such withdrawal. Toward the end of the Inci-

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dent the 3rd Cavalry Brigade, which I have mentioned as one of the units under the 5th Army, was withdrawn from the command of the 5th Army for the purpose of adding to the Nomonhan military strength, but before this unit could be added, the Incident was concluded and the brigade never participated in the fighting. I know that the withdrawal of the 3rd Cavalry Brigade from the 5th Army was not upon the order of General DOHIHARA, nor was he in any way responsible for it. The orders were issued by higher authority." *****

THE PRESIDENT: Colonel Ivanov.

CROSS-EXAMINATION

BY COLONEL IVANOV:

- Q Mr. Witness, were two new armies, the 5th and 6th, formed in the Kwantung Army in 1939?
 - A Yes.
- Q Do you know whether General DOHIHARA was appointed first commander of the 5th Army seven days after the hostilities in the Nomonhan area had commenced;
 - A Yes, I do.
- Q Is it known to you that military operations in the Nomonhan area continued for four months in 1939 and that during that time General DOHIHARA held the position of the commander of the 5th Army and was in Manchuria?

A Yes, I do.

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O Wasn't General DOHIHARA relieved from the post of the commander of the 5th Army in September 1940, that is, only after the Molotov-TOGO agreement on the redemarcation of the borderline in the Nomonhan

A In my recollection General DOHIHARA left his post in September, 1939.

area had been signed in the summer of 1940?

O Isn't it a mistake of yours that General DOHIHARA was relieved from the post of the commander of the 5th Army in 1939?

A My recollection is that it was in 1939.

O Did the separate units of the 5th Army participate in the fighting in the Nomonhan area in compliance with the order of the commanding general of the Kwantung Army, who supervised the operations of the army in the Nomonhan area and was responsible for their success to the Imperial Headquarters, and didn't General DOHIHARA carry out these orders as regards the 5th Army?

A The operational command of the Nomonhan operations was the responsibility of the commanding general of the Kwantung Army. If units of the 5th Army were to be dispatched to the fighting in the Nomonhan area, then that would mean the transfer of units of that army to the command of other units. This means that

the responsibility thereof does not rest with the Commander in Chief of the 5th Army but with the commanding general of the Kwantung Army.

THE PRESIDENT: We don't want an argument. We just want you to state what was the fact, whether the 5th Army units engaged in the fighting.

THE WITNESS: The 5th Army did not participate in the fighting at Nomonhan.

THE PRESIDENT: We will adjourn until halfpast nine tomorrow morning.

(Whereupon, at 1600, an adjournment was taken until Thursday, 18 September 1947, at 0930.)